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UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/982,109

10/17/2001

Einar Bergsson

280/1

27538 KAPLAN & GILMAN , L.L.P. 900NROUTE 9 NORTH WOODBRIDGE, NJ 07095 CONFIRMATION NO. 6352
FORMALITIES LETTER
OC000000007036998

Date Mailed: 11/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 870.

92/22/2002 EAREGAY1 00000014 09982109

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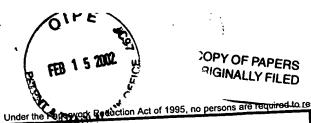
370.00 Op 65.00 OP

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

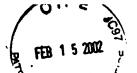
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TRANSMITTAL FORM

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| | 09/982,109 | |
| | 10/17/2001 | |
| Filing Date | Einar Bergsson, et al. | |
| First Named Inventor | Emar bergsson, or an | |
| Group Art Unit | 2663 | |
| Examiner Name | n/a | |
| | 280/1 | |
| Attorney Docket Number | 200/1 | |

| FORM | First Named Inventor | |
|--|---|--|
| (to be used for all correspondence after initial filing) | Group Art Unit | 2663 |
| (to be used for all correspondence says | Examiner Name | n/a |
| Total Number of Pages in This Submission | Attorney Docket Number | 280/1 |
| | | |
| Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 | ment Papers Application) ng(s) sing-related Papers on to Convert to a sional Application or of Attorney, Revocation ge of Correspondence ass inal Disclaimer uest for Refund Number of CD(s) | |
| Jeffrey I. Kaplan (Reg | | |
| or Individual name | | |
| Signature | 01/03/2002 |) |
| Date | 01/03/2002 | |
| | IFICATE OF MAILING | - Sept place |
| | the Heal Inited States Postal | Service with sufficient postage as first class this date: 1/3/02 |
| I hereby certify that this correspondence is being deposited mail in an envelope addressed to: Commissioner for Patent | | L. Company |
| Typed or printed name Paula M. Halsey | 7 | 01/03/2002 |
| Signature Burden Hour Statement: This form is estimated to take 0.2 hours to complete this form so but the amount of time you are required to complete this form so but the amount of time you are required to complete this form so but the sound of the s | malaria | |

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Signature

C. YOF PAPERS

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Patent fees are subject to annual revision.

475.00 TOTAL AMOUNT OF PAYMENT (\$)

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| Complete if Known | | |
| Application Number | 09/982,109 | |
| Filing Date | 10/17/2001 | |
| | Einar Bergsson | |
| First Named Inventor | | |
| Examiner Name | n/a | |
| Group Art Unit | 2663 | |
| Attorney Docket No. | 280/1 | |

| METHOD OF PAYMENT | FEE CALCULATION (continued) | | | | |
|---|--|-------------|--|--|--|
| The Commissioner is hereby authorized to charge | 3. ADDITIONAL FEES | | | | |
| 1. Indicated fees and credit any overpayments to: | Large Small | | | | |
| Deposit | Entity Entity Fee Fee Fee Fee Fee Description | Fee Paid | | | |
| Account Number 11-0223 | Code (\$) Code (\$) | 65.00 | | | |
| Deposit Kaplan & Gilman, LLP | 105 130 205 65 Surcharge - late filing fee or oath | | | | |
| · Name L | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet | | | | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 139 130 139 130 Non-English specification | | | | |
| Applicant claims small entity status. | 147 2,520 147 2,520 For filing a request for ex parte reexamination | | | | |
| 2. Payment Enclosed: | 112 920* 112 920* Requesting publication of SIR prior to Examiner action | | | | |
| Check Credit card Money Order Other | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action | | | | |
| FEE CALCULATION | 445 110 215 55 Extension for reply within first month | | | | |
| 1. BASIC FILING FEE | 116 400 216 200 Extension for reply within second month | | | | |
| Large Entity Small Entity | 117 920 217 460 Extension for reply within third month | | | | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) | 118 1,440 218 720 Extension for reply within fourth month | | | | |
| 101 740 201 370 Utility filing fee 370.00 | 128 1,960 228 980 Extension for reply within fifth month | | | | |
| 106 330 206 165 Design filing fee | 119 320 219 160 Notice of Appeal | | | | |
| 107 510 207 255 Plant filing fee | 120 320 220 160 Filing a brief in support of an appeal | | | | |
| 108 740 208 370 Reissue filing fee | 404 200 221 140 Request for oral hearing | | | | |
| 114 160 214 80 Provisional filing fee | 138 1,510 138 1,510 Petition to institute a public use proceeding | | | | |
| SUBTOTAL (1) (\$) 370.00 | 140 110 240 55 Petition to revive - unavoidable | | | | |
| | 141 1 280 241 640 Petition to revive - unintentional | | | | |
| 2. EXTRA CLAIM FEES Fee from below Fee Pal | 142 1.280 242 640 Utility issue fee (or reissue) | | | | |
| EXITA OTOLING | 143 460 243 230 Design issue lee | | | | |
| Total Claims -20** = X = I | 144 620 244 310 Plant issue fee | | | | |
| Claims Multiple Dependent | 122 130 122 130 Petitions to the Commissioner | | | | |
| Multiple Dependent | 123 50 123 50 Processing fee under 37 CFR 1.17(q) | | | | |
| Large Entity Small Entity | 126 180 126 180 Submission of Information Disclosure Stmt | 40.00 | | | |
| Fee Fee Fee Fee Fee Description Code (\$) Code (\$) | 581 40 581 40 Recording each patent assignment per property (times number of properties) | 40.00 | | | |
| 103 18 203 9 Claims in excess of 20 | 146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a)) | | | | |
| 201 440 Multiple dependent claim, if not paid | To each additional invention to be | | | | |
| 104 280 204 140 Multiple dependent claims 109 84 209 42 ** Reissue independent claims over original patent | examined (37 CFR § 1.129(b)) | | | | |
| 110 18 210 9 ** Reissue claims in excess of 20 | | | | | |
| and over original paterit | 169 900 169 900 Request for expedited examination of a design application Other fee (specify) | | | | |
| SUBTOTAL (2) (\$) 0.00 | | 105.00 | | | |
| *Reduced by Basic 1 ming 4 5 5 | | | | | |
| Complete (it application) | | | | | |
| SUBMITTED BY | Registration No. 34,356 Telephone 732-63 | 4-7634 | | | |
| Name (Print/Type) Jeffrey I. Kalana | (Attorney/Agent) 34,330 Date 01/03/2 | 002 | | | |

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